

IFW

# PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Diaddario, Jr.  
FOR : **USE OF N-ALLYL SUBSTITUTED  
AMINES AND THEIR SALTS AS  
BRIGHTENING AGENTS IN NICKEL  
PLATING BATHS**  
SERIAL NO. : 10/774,558  
FILED : February 9, 2004  
ART UNIT : 1753  
ATTORNEY DOCKET NO. : PVOZ 2 00016

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Commissioner for Patents  
P.O.-Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, Applicant submits the following Disclosure Statement concerning art of which the Applicant is aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language.

2,876,177 – Gündel  
3,772,168 - Dillenberg.  
3,862,019 – Rosenberg et al.

#### CERTIFICATE OF MAILING

I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** is being deposited with the United States Postal Service as FIRST CLASS MAIL in an envelope addressed to the Commissioner for Patents, Alexandria, VA 22313-1450, on 08/18/04.

By: \_\_\_\_\_

Georgene B. Sonntag

4,054,495 – Law et al.  
4,077,855 – Popescu  
4,212,709 – Patsch et al.  
4,435,254 – Lemke  
5,438,140 – Oftring et al.  
5,445,727 – Schwendemann et al.  
5,606,067 – Burkhardt et al.  
5,611,906 – Burkhardt et al.  
5,840,096 – Tajima et al.

Under Rule 97(b)(3), this information disclosure statement is being filed before the mailing date of a first Office Action on the merits and accordingly no fee is necessary.

It is respectfully requested that the attached documents be considered and officially cited in examination of this application.

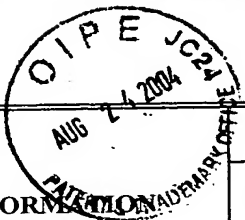
Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP

Date: August 18, 2004



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Subst. Form PTO-1449

Atty. Docket No.: PVOZ 2 00016

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APPLICANT'S(S) INFORMATION  
DISCLOSURE STATEMENT

Applicant(s): Diaddario, Jr.

Filing Date: February 9, 2004

Group: 1753

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA	2,876,177	03MR1959	Gündel			
	AB	3,772,168	13NO1973	Dillenberg			
	AC	3,862,019	21JA1975	Rosenberg et al.			
	AD	4,054,495	18OC1977	Law et al.			
	AE	4,077,855	07MR1978	Popescu			
	AF	4,212,709	15JL1980	Patsch et al.			
	AG	4,435,254	06MR1984	Lemke			
	AH	5,438,140	01AU1995	Oftring et al.			
	AI	5,445,727	29AU1995	Schwendemann et al.			
	AJ	5,606,067	25FE1997	Burkhart et al.			
	AK	5,611,906	18MR1997	Burkhart et al.			
	AL	5,840,096	24NO1998	Tajima et al.			

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						

## OTHER ART

	AR	
	AS	
	AT	
	AU	

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.